DEVELOPING PARAMETRIC BUILDING MODELS – THE GANDIS USE CASE

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ABSTRACT:

In the course of a project related to green building design, we have created a group of eight parametric building models that can be manipulated interactively with respect to dimensions, number of floors, and a few other parameters. We report on the commonalities and differences between the models and the abstractions that we were able to identify.

1 INTRODUCTION

The creation of building models is a tedious task. Sophisticated architectural software such as AutoCAD (Autodesk), ArchiCAD (Graphisoft) or Revit (Autodesk) provides architects with various tools for creating detailed plans and sections for the construction process. This software is typically used after the initial form finding process, i.e., when the building concept is clear, and function, stability, and style are defined (or, following Vitruvius, utilitas, firmitas, venustas). For the early design process all sorts of rapid modeling tools are used, most notably Maya or 3D Studio Max (Autodesk), or simple tools like SketchUp (Google), or Rhino (McNeel) for freeform architecture.

In this paper we present results from the GANDIS project, which attempts to close the gap between early design and construction-ready planning in a different way, namely using procedural building templates. An important drawback of the conventional design process is that some implications of the initial design become apparent only after construction planning. The energy footprint of a building, for instance, depends on the A/V ratio (surface to volume), the glass proportion, the number of floors, but also of the wall insulation standard. For the early design process all sorts of rapid modeling tools are used, most notably Maya or 3D Studio Max (Autodesk), or simple tools like SketchUp (Google), or Rhino (McNeel) for freeform architecture.

In this paper we present results from the GANDIS project, which attempts to close the gap between early design and construction-ready planning in a different way, namely using procedural building templates. An important drawback of the conventional design process is that some implications of the initial design become apparent only after construction planning. The energy footprint of a building, for instance, depends on the A/V ratio (surface to volume), the glass proportion, the number of floors, but also of the wall insulation standard. For the early design process all sorts of rapid modeling tools are used, most notably Maya or 3D Studio Max (Autodesk), or simple tools like SketchUp (Google), or Rhino (McNeel) for freeform architecture.

If the creation of a valid 3D building model is tedious, then even more so is the creation of a parameterized building. It requires a description of the construction process from input parameters to building elements. Which technologies can be used for this?

The process starts from a set of example buildings provided by architects (Fig. 1). They span the design space that is to be parameterized. To define this space unambiguously, however, required many discussions, e.g., on the parameter minima and maxima, or to clarify which special cases should be prevented to guarantee that the building created remains valid. The next step was to develop first simple buildings, and then to successively extend them to create more complex ones. This inductive process is marked by continuous refactoring in order to find a set of re-usable parametric sub-constructions (doors, windows, floor plan processing). So our experience was that developing the first few models took most of the time, while later the toolset and thus, the design space, were powerful enough to create more elaborate models faster. The Office series of templates was created from the Residential series merely by replacing the facade decoration function (see sec. 5.6).

2 RELATED WORK

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First of all, almost every 3D modeling software package includes a scripting language to automate construction processes, using languages like C# (Revit), AutoLisp (AutoCAD), MEL (Maya), all from Autodesk, 2011, Ruby for SketchUp (Google, 2011),
Designing an energy-efficient building is not just a question of the right insulation; the shape and orientation of a building are just as important. GANDIS provides an iPhone app that allows users to interactively explore the impact of decisions made early in the design process on the energy footprint of a building. The user can choose between residential and office building and picks a basic shape for the floor plan (cf. Fig. 2). The building dimensions can be manipulated interactively while the GANDIS software continuously updates estimates of the energy efficiency.

There are two parametric models for each of the four basic shapes (cf. Fig. 2). The first three basic shapes, rectangular, L-shaped and atrium, are intended to approximate the more accurate models that will be created later in the design process. The fourth “shape” is called free-form and is intended not as a stand-in for any specific building but rather as an example that the user can manipulate in order to learn more about energy efficiency.

In the beginning, we had to assess the variability of the buildings. For this, the architects in our team modeled a static instance of each building type using Google SketchUp (cf. Fig. 1). These reference models provided a helpful guideline in the discussion that led to the abstraction of the buildings. The exact set of parameters varies between the different models. Common to all the models is the “number of floors” parameter; the rectangular buildings have length and width parameters, while the other types require more parameters to define their shape (cf. Fig. 2). Additionally, the residential building models allow a choice of three different roof shapes.

4 THE TOOLSET

This section describes the main tools and technologies that were combined to create the full range of parametric GANDIS models.

4.1 GML

The Generative Modeling Language (GML) is a simple scripting language for generative parametric modeling (Havemann, 2005). The key concept is simple and general: a stream of individual tokens is processed one token after another. A token either contains
Figure 4: A solid convex region in 3D space is the intersection of halfspaces (oriented planes). Each plane divides space into unbounded interior and exterior. (a) The solid is the intersection of all interiors. (b) With this plane based representation, a part of a cube can be clipped away simply by adding another halfspace.

data, in which case it is put on a stack, or a processing instruction, an operator. Operators can use the operand stack for popping input parameters and pushing their results. However, the real work is done through side effects, by manipulating internal states and data structures. In GML, this might be a mesh data structure to which a vertex or a face is added. In PostScript, where the concept originates from, this is the bitmap of the page to be printed.

4.2 Shape representation: convex polyhedra

Shapes are represented in our system by solid convex polyhedra (CPs). A CP is defined by the intersection of a finite number of halfspaces, i.e., oriented planes. Each plane is defined using three 3D points, the point orientation permits to distinguish above from below and thus, interior from exterior. – A more intuitive way to think about CPs is to imagine a piece of cheese from which parts are cut away using a knife. The main advantage of CPs is that they can be split simply by adding another plane (knife cut). To obtain the cut-away part, the plane is reversed. CPs are very robust; consistency is not an issue because if the halfspace intersection is empty, the CP simply vanishes.

CPs are so simple to use because the complexity of dealing with inconsistencies is encapsulated in the boundary computation. The vertex enumeration problem, which is dual to the convex hull problem [De Berg et al., 2000], is a special case of Constructive Solid Geometry (CSG), also see [Hachenberger and Kettner, 2005]. We have developed a robust and fast mesh clipping algorithm that can deal with the various special cases in a consistent way. However, in principle any system that is able to evaluate convex polyhedra can also be used, e.g., (“CGAL, 2011). Convex polyhedra are available in GML via a set of specialized operators and a few new token types. The following piece of GML code is sufficient to create the polyhedron in figure 4(b).

The \texttt{cp-po-registerbox} operator expects two 3D points on the stack, creates an axis-parallel box, and pushes on the stack a so-called CP ticket. A ticket is a GML token that is used as reference to a piece of data, in this case of GML type “CP solid”. The \texttt{dup} operator duplicates the topmost stack element. \texttt{cp-pl-register} in line 4 creates a new plane (“CP plane”), and \texttt{cp-po-addplane} adds it to the existing polyhedron. \texttt{cp-po-setproperties} consumes the duplicated box ticket and makes the polyhedron visible in red.

Figure 5: From convex polygon to convex polyhedron: The top and bottom planes are constructed from a given extrusion depth, and each polygon segment yields one side plane. In case the polygon is nonconvex, the solid is automatically “convexified”.

As a more complicated example, the following code performs the prism construction shown in Fig 4(b) in GML, \texttt{x} defines (pops) and \texttt{x} retrieves (pushes) the value of named register \texttt{x}.

4.3 Shape grammar

Shape grammars are a natural fit for many forms of architecture because grammars are well suited for describing hierarchical structures. Shape grammars are derived from formal grammars [Chomsky, 1956], which are part of formal language theory. This theory concerns itself with finite sequences of symbols called words and with — possibly infinite — sets of words called languages. A formal grammar is a way of defining such a language. It consists of a set \( N \) of nonterminal symbols, a set \( \Sigma \) of terminal symbols (the alphabet) and a set \( P \) of production rules. Each production rule describes a possible replacement of the symbols on its left-hand side by the symbols on its right-hand side. In a context-free grammar, the left-hand side is a single nonterminal symbol.

Grammar evaluation begins with a start symbol \( S \in N \). Then rules are applied to replace nonterminal by other symbols, until a word consisting only of terminal symbols remains: The grammar has just generated a word. - A simple grammar looks like this:

\[
S ::= AB A \\
A ::= cd
\]

By convention, nonterminal symbols are represented by uppercase letters and terminal symbols by lowercase letters. The first rule is the only rule applicable in the first step. It replaces \( S \) by the sequence \( AB A \). Two applications of the second rule (possible
The idea behind shape grammars is to operate on two- or three-dimensional shapes instead of one-dimensional symbol strings. In this case we use three-dimensional CPs labelled by symbols. The grammars we use are split grammars (Wonka et al., 2003). Each rule splits a CP carrying a specific label up into smaller polyhedra, covering the same volume and carrying other labels. We limit ourselves to deterministic grammars: For each nonterminal symbol, there is only one rule that applies to it. Consequently, a given grammar can only generate one word, i.e. evaluates to one 3D model for any given starting volume. Note, however, that both the starting volume and the grammar itself can depend on parameters of the parametric model.

There are three kinds of production rules:

**Terminal Rule** Replace a nonterminal symbol by a single terminal symbol. Depending on the terminal symbol that is chosen, this will assign a material to the volume and make it visible, or make it entirely invisible.

**Split Rule** Splits the volume along one or more planes perpendicular to a given direction. The planes are distributed according to absolute and relative sizes given as part of the rule (cf. Fig. 6(a)).

**Subdivide Rule** Given a direction and a minimum size, splits the volume along planes perpendicular to that direction into as many parts of equal size as possible (Fig. 6(b)).

We have embedded this formal shape grammar system into GML in order to use it for parametric modeling tasks. The grammar rules operate on the convex polyhedra described in the previous section, a generalization of the commonly used rectangular boxes. The (nonterminal and terminal) symbols for the CP labels are not represented explicitly in the GML translation of a grammar; rather, we rely on the operand stack and on GML’s standard ability to define functions. Each rule name translates to a named function containing the GML code corresponding to the rule. Note that with a one-to-one correspondence between nonterminal symbols and the rules that are applied to them, the nonterminals actually do not need to be labelled explicitly.

Evaluating a GML shape grammar always starts with a CP at the top of the GML operand stack. Each rule is a GML function, or a sequence of tokens, that consumes one convex polyhedron from the top of the stack. While most rules become named GML functions, rules that are used only in one place can be directly placed into the calling function/rule. This rule “inlining” can make the textual description of a grammar much less verbose.

A split rule is implemented as a call to the split operator (cf. Fig. 6(a)). It pops three arguments from the operand stack: the convex polyhedron to be split, a list of distances or proportions that determine the number and positions of the splitting planes, and the direction to split in. It returns an array of new convex polyhedra; further rules are applied to them by calling the respective GML functions. Likewise, a subdivide rule is implemented as a call to the subdivide operator (cf. Fig. 6(b)). It pops the polyhedron, the minimum size and the direction from the operand stack, and it returns an array of convex polyhedra. In the shape grammar formalism, the same rule is applied to all polyhedra resulting from a subdivide, so this is done in a forall loop. A terminal rule is where the shape grammar formalism ends. It can be implemented by any code that does something with the resulting polyhedron.

Most commonly, the cp-po-setproperties operator is used to either assign a material to the polyhedron to make it visible, or to mark it as invisible.

## 5 DEVELOPING THE MODELS

There is more than one way to build any given parametric model. While creating the models, we were faced with several decisions; some of these had to be revised as we progressed from the simpler building types (rectangular, L-shaped) to the more complex ones (atrium, freeform).

### 5.1 Rectangular and L-Shaped Residential Buildings

![Figure 7: Residential rectangular and L-shapes buildings.](image)

Once we felt we had sufficiently understood the architectural ideas that our parametric models had to capture, the first decision we faced was whether to rely on shape grammars right from the start, or whether to use more conventional methods, such as extrusion from a floor plan polygon.

We wanted to keep our parametric model as modular as possible, that is the parts that describe what the walls look like, where windows are placed, etc. should be independent from the part that defines the basic shape of the building. From the parameters first an intermediate representation of the basic shape should be constructed, to which in a second pass the explicit building geometry...
Figure 8: **Floor slab construction.** A simple polygon (a) is decomposed into convex parts that are extruded (b) to obtain the floor slab parts of the building (c).

Figure 9: **Wall construction.** Given a simple polygon as outer boundary (a), one wall piece is constructed for each polygon segment (b) by making use of the offset polygon.

is added. This should work in basically the same way for all building shapes.

The grammar formalism cannot deal well with non-convex shapes. It is not obvious how to define general, reusable rules that can be applied to a non-convex floor plan. It can often be easier to calculate a floor plan polygon from the building parameters directly (cf. Fig. 3). Polygons are versatile and can be turned into 3D shapes of floors and walls in a general way (cf. Figs. 8, 9).

**Decision 1** We use polygonal floor plans and extrude them to get 3D representations of floor slabs and walls.

For every line segment of the floor polygon one wall segment is created, i.e., one convex polyhedron. This CP is then used as starting volume for a shape grammar. We have successfully employed shape grammars for modeling façades (Krispel et al., 2010), which lead to the next design decision:

**Decision 2** To model the building façades, we apply a shape grammar to each wall (on each storey).

An important decision is whether to construct the building floor by floor, or whether the side walls are extruded over all floors. The advantage of the latter approach is that the shape grammar can be applied to the entire façade, so that structures can cross floor boundaries. Still one floor slab needs to be created per storey, but that is feasible. However, despite this advantage a different design decision was taken:

**Decision 3** All the buildings are created one storey at a time.

The reason for this decision is the freeform residential building, where different floors have different shapes. Another reason is that the façades of the GANDIS models are comparably simple. Only one façade element is not strictly confined to a single floor (the large opening in Fig. 7 top left, middle building, upper floor). – The final remaining building block is the roof. A flat roof is basically just a floor slab. However, the other two roofs are more complicated, so due to the time constraints we decided:

**Decision 4** The two non-trivial roof forms are constructed by a separate piece of GML code for each basic building shape.

We used hand-crafted convex decompositions of the building shapes in order to construct the convex polyhedra representing the roofs; this leads to eight different roof construction functions in the code (two roof forms for each of four building shapes). With more time and effort, they could be factored and combined into a single function per roof type that works for all building shapes, rectangular, L-shaped and atrium. The residential freeform building, however, needs to be treated separately in any case because its roof has a different structure. The roofs on the simpler buildings follow the building shape, changing their slope in order to always reach the same height. In the freeform building the roof shape on “core” of the building remains fixed, and it extends outwards and upwards on the variable parts (cf. Fig. 3(d)).

### 5.2 Atrium

The atrium shape posed a new challenge: its ground area is not a simple polygon but a polygon with a polygonal hole. One option is to extend the system to deal with this more complex shape directly, but there is also a more general alternative.

**Decision 5** A floor polygon with holes is decomposed into a set of polygons without holes. The polygon sides are tagged to account for constructing the walls, possibly a different set is used for creating floor slabs.

For constructing the walls of the atrium building, we use two (rectangular) wall polygons (cf. Fig. 11(a)); one for the outward-facing walls, one for the walls facing the atrium. For constructing the floor slabs, the ring shape is split into two roughly L-shaped parts (cf. Fig. 11(b)).

### 5.3 Freeform Residential

The freeform residential building uses a different shape for even-numbered and for odd-numbered floors. As we have already decided to construct each floor separately, this is no big problem.
Figure 12: Residential freeform buildings.

Figure 13: In the freeform residential buildings, the shape of a floor slab between storeys is influenced by both storeys.

But we have to take into account that the shape of the floor slab that separates two storeys depends on the shapes of both the floor above it and the floor below it. If the lower floor extends further than the upper storey (cf. Fig. 13(a)), the slab that divides them is exposed as a roof; if the upper storey extends further (cf. Fig. 13(b)), the slab has to function as a floor. Therefore, the area of the dividing floor slab has to be the set-theoretic union of the areas of the lower and upper storey. In this particular case, we can trivially calculate the resulting polygon by always using the larger of the corresponding parameter values for the even and odd-numbered floors.

Decision 6 Separate polygons are generated for even and odd-numbered floors; the shape of the dividing floor slabs is calculated from both sets of parameters.

5.4 Office Buildings

Figure 14: Office rectangular, L-shape and atrium buildings.

For the simple shapes (rectangular, L-shaped, atrium), the office buildings are very similar to the corresponding residential buildings. The only differences are that

1. the only available roof type is the flat roof,
2. the outer walls are lightweight curtain walls rather than solid walls with windows, and
3. the buildings have solid core at a fixed distance from the outer curtain walls.

To get curtain walls instead of solid walls with windows, all we have to do is to use a different grammar for the walls.

Decision 7 The core of the office buildings is modeled by adding an additional polygon (or two, for the atrium building) to the list of wall polygons. A different (trivial) grammar is applied to these additional walls.

5.5 Freeform Office

Figure 15: Office rectangular, L-shape and atrium buildings.

The freeform office building consists of three intersecting rectangular parts, where each part has a different number of floors. If we model this building by constructing the individual floor polygons, we find that the top floor is a rectangle, the floor below it is the union of two rectangles, and all other floors are the union of three rectangles. Modeling the building in this way has one big disadvantage: the wall grammars are applied to walls of different lengths in different storeys; therefore, corresponding facade elements in different storeys will not align properly. In order to account for this, we have to find a way to calculate the boundary of the combination of the floor parts, rather than treating the floor parts individually.

Decision 8 Rather than calculating the outlines of individual floors, we first construct three complete rectangular office buildings and then merge them together using CSG operations.

Constructive Solid Geometry, or CSG, is the term commonly used for set-theoretic operations on three-dimensional solid objects, such as union, difference and intersection. By rendering all three rectangular parts of the building, we get a building with unwanted interior walls. For each of the three parts, we eliminate the unwanted walls using two CSG difference operations; we “cut away” the walls that are inside the bounding boxes of the two other parts (cf. Fig. 16(a)).

Putting these parts together yields the desired result (cf. Fig. 16(b)). Note that the floor slabs and the building cores still have extra interior faces. Since a valid interior building core is not of interest in our project this was not resolved. If non-intersecting core geometry was required for further processing, the overlapping parts of the building core could also be properly merged using a CSG union operation. – Note that in particular such join operations pose a fundamental problem when using exclusively shape grammars. An extension to context-sensitive shape grammars is not directly possible using the presented GML grammar approach, where grammar rules are realized as GML functions.

Figure 16: Freeform office: (a) To avoid undesirable interior walls, the walls inside the other building parts are cut away using a CSG operation. (b) The result of the combination.
5.6 Construction of the walls

According to decision[2] the structure (or style) of each wall is defined by a shape grammar. Figure 17 shows some examples of the different wall styles that were required in our parametric model. As the wall grows longer, we will simply repeat the basic “tiles” to fill the wall. In the case of figure 17(c) there is an extra space in the middle of the wall (highlighted in blue), which should remain constant in width while the left and right parts are repeated.

The top-level rule of the wall grammar therefore uses the subdivide operator for the first two cases; for the third case, a split rule is applied first, and subdivide is applied to the leftmost and rightmost parts. The grammar is applied to walls with different orientations; therefore, we will pass the direction of a wall as a parameter to the GML function defining the grammar.

The function wall-c for the toplevel nonterminal[17(c)] looks as:

```plaintext
//wall-c { 
  userreg 1 dir
  [ -1 6.0 -1 ] ; dir split aloud
  TW
  :dir tile-a
  )

//tile-a { 
  userreg 1 dir
  9.0 ; dir subdivide
  { -1.6 window-width-b -1.0
    door-width-a -1.0
    :dir split aloud
    TW
    door-a
    TW
    window-b
    TW
  } forall
}
```

This is the right place to add code to store a reference to the polyhedron in a global array (more exactly, to append it to the array):

```plaintext
//V { 
  /archwhite (0.3,0.3,0.3) 0 1 0 cp-po-setproperties
}
```

Whenever a window is created its 3D shape is stored in the global variable WindowPolyhedra for future reference. The window areas must be calculated separately for each of the four points of the compass, so in fact four different wall arrays are needed. When applying the grammar to a wall the WindowPolyhedra variable must refer to the appropriate array. To obtain the actual window area the faces of the polyhedra facing to the respective direction are extracted, and their areas are summed up.

The main objective of the GANDIS project is to assess the energy efficiency of a procedurally generated parametric building. In fact only a few key quantities are required for the approximate energy efficiency calculation. Some of them, such as the exterior area and the enclosed volume of the building, can be directly calculated from the parameters. It is much easier to multiply length, width and height of a box than to calculate the volume of a box-shaped building from the volumetric CP model. The most interesting challenge was to find out the exact amount of glass area on each of the facades of the building.

The area occupied by windows depends on the particular grammar used to define the façades. Different grammar rules behave differently when the wall length changes; therefore, we want to calculate the window areas just when building the wall geometry, as this is possible then with little extra effort. The method of choice is to collect all “glass” terminals for each wall, and to calculate the total area facing in the wall direction. We already have a GML function that implements the “void” terminal symbol for expressing empty spaces in the shape grammar. Its code is simple as it uses cp-po-setproperties to make the polyhedron invisible but for its outline:

```plaintext
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  /archwhite (0.3,0.3,0.3) 0 1 0 cp-po-setproperties
}
```

In this code TW (for terminal wall) is a terminal symbol, door-a and window-b are more nonterminals, and window-width-a and window-width-b are constants defined elsewhere. The rule for door-a can be defined as a split into an upper part, which is a wall, and a lower part, which uses the terminal symbol V for void. The global constant WPZ refers to a split parallel to the world coordinate system plane z = 0.

```
//door-a { 
  [ door-height-a -1 ] WPZ split aloud TW V
}
```

The definitions for tile-b, window-b, as well as for other types of windows, doors and walls (including the curtain wall used in the office buildings) are similar.

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In the above code \((0, 1, 0)\) is the direction of the positive \(y\) axis which points North in our coordinate system. The function `getcp-facepoly` expects a polyhedron and a direction, and it returns, as a polygon, the face whose orientation matches most closely the given direction. An initial value of 0.0 is pushed first. For each north-facing window polyhedron, the area of the appropriate face is added to it. The result is stored in a variable named `glassAreaN`.

From a more abstract point of view, by adding the appropriate CPs to the wall direction array we have in fact labelled each “glass” terminal with a semantic attribute. After the model is finished we were then able to sum up the values calculated from the labeled terminals. Compared to calculating the area while the model is being constructed, this leads to a much cleaner overall structure of the code. – We are currently also pursuing a more general and versatile solution that combines the attribute operator `cp-po-setproperties` with another operator `cp-po-getbyattr` that runs a query to obtain all CPs that are labeled with a particular attribute. In GML, the resulting object arrays can be further refined in a simple way using the `filter` operator that maps an array to a filtered array using an arbitrary filter function.

7 CONCLUSION AND FUTURE WORK

We have presented a technology for creating parametric building templates. The goal of the GANDIS use case was to isolate the essential parameters of six specific parametric buildings families. The parametrization leads to a reduction of the degrees of freedom that increases the efficiency of building design, since a smaller number of user interactions is required to obtain a building compared to more general modeling software.

The downside of this limitation is of course that the user cannot realize buildings outside of the given parametrization; i.e., the generality is impaired. This is compensated by the possibility to quickly realize other new parametric families using the GML framework developed in GANDIS, as witnessed by the two families of `freeform` buildings (residential and office), which follow a quite different systematic. Concerning the expressiveness of the framework we can observe that a large class of buildings can be realized despite some severe limitations, e.g.:

- No general roof algorithm, roofs are custom-tailored
- No interior walls or internal ground floor structure
- No Mezzanines, only one floor polygon per storey
- No vertical interior structures like elevators or stairways
- No vertical facade structures across storeys

These issues mark obvious areas for further generalization and future research. More important, however, will be the question whether the presented combination of GML, CPs with CSG, and parametrization will be sufficient to cover also these extensions – or whether we need additional tools in the toolset.

All GANDIS models can be downloaded from the GML homepage [www.generative-modeling.org](http://www.generative-modeling.org). The energy balance application for the Apple iPhone and iPad can be obtained from the AppStore under the name GANDIS.

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