EUROSDDR GEOBIM PROJECT A STUDY IN EUROPE ON HOW TO USE THE POTENTIALS OF BIM AND GEO DATA IN PRACTICE


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ABSTRACT:

In both the Geo and BIM domains, it is widely acknowledged that the integration of geo-data and BIM-data is beneficial and a crucial step in facing the multi-disciplinary challenges of our built environment. The result of this integration – broadly termed as GeoBIM – has a range of potential uses from district study to road safety. However, from the data perspective, this integration raises the question of how to integrate very detailed design and construction data from the BIM domain with contextual geospatial data (both 2D and 3D) that model a very diverse range of aspects of the wider built and natural environment.

This paper reports work carried out during the second phase of the EuroSDR GeoBIM project, which sets out to understand the general status of GeoBIM across Europe with particular focus from a National Mapping and Cadastral Agency perspective. The first phase of the project reviewed the current status of GeoBIM in participating countries and identified the need for specific detailed use cases to overcome both the lack of awareness and the lack of understanding of the potential of GeoBIM. We present both an update on the current status of GeoBIM, and additional details of one of the selected use cases relating to planning/development permits. For the latter, we have been able to develop a detailed workflow highlighting specific data exchange points within the process to issue a development permit, allowing a more in-depth identification of both the roles and data needs at each stage.

1. INTRODUCTION

In both the Geo and Building Information Modelling (BIM) domains, it is widely acknowledged that the integration of geo-data and BIM-data is beneficial and a crucial step in facing the multi-disciplinary challenges of our built environment. It could benefit application domains including city analysis and administration, architecture, construction and asset management. However, full integration of the geospatial and BIM domains would need to consider legal and procedural/operational issues, besides the more technical ones. In addition, the specific needs of the many stakeholders involved in design and construction cannot be disregarded.

The data component of this full integration raises the question of how to integrate very detailed design and construction data from the BIM domain with contextual geospatial data (both 2D and 3D) that models a very diverse range of aspects of the wider built environment and also underpins analytical analysis. To address this issue at the multi-country level, twelve National Mapping and Cadastral Agencies (NMCA) and five research groups in Europe started a collaboration under the flag of the organisation European Spatial Data Research (EuroSDR), to obtain understanding how the BIM domain can make better use of NMCA geo-data and vice versa.

The aim of the project is to detail both the needs and the issues of GeoBIM integration, studied in relation to use cases as well as from existing experiences in the participating countries, with the ultimate goal to increase the use of NMCA-data in the BIM domain and vice versa.

The first phase of the project (Ellul et al. 2018) reported the results of a survey conducted across the partner countries. It highlighted a number of general challenges and opportunities for GeoBIM - both technical and non-technical. It also reviewed the status of GeoBIM across the participating countries, highlighting that the level of GeoBIM maturity varied significantly from no or very few initiatives to mature projects. Results showed that at the time, none of the participating countries had national-level projects and that one of the major issues was a lack of understanding of what GeoBIM is and what it could offer.

To address this issue, the second phase of the EuroSDR project is developing two use cases, related to asset management (to be reported on later in the project) and planning/permit applications. This paper is split into two parts throughout. Each section first provides an update on general GeoBIM related activities performed across the partner NMCA countries, and then presents some of the work carried out towards the planning/permit applications use case.

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2. BACKGROUND

2.1 Geospatial and BIM Integration

Ellul et al. (2018) summarise key similarities (both model the built environment, both can model in 3D, both use object-based models) and differences (georeferencing is not at the core of BIM, geometry is modelled as boundary-representation for geospatial data but using mainly parametrically modelled solids for BIM, geospatial data is country-wide, BIM is site-specific) between geospatial information and data from building information models, and highlight the challenges of integration. A more detailed comparison and review can be found in Liu et al. (2017) and Zhu et al. (2018). Additionally, Arroyo et al. (2018) note that general benefits of GeoBIM integration include the fact that with contextual GIS information, BIM methodologies can be better applied to infrastructural works; more detailed 3D city models can be built by reusing BIM data; smart city concept related tools can perform integrated reasoning making seamless use of data relating to terrain, buildings and city infrastructure; spatial analyses can be supported by multiple levels of detail and the complete life cycles of objects.

Additional examples of recent GeoBIM integration efforts from the literature include:

- Diaz-Vilarriño et al. (2018) describe the use of a ScanToBIM approach that makes use of a travelling salesman approach to identify the optimal placement of laser scanning devices when using a stop-and-go approach to scanning. This is applied to a construction scenario to assess progress of the construction works.
- Hor et al. (2018) describe a Resource Description Framework and graph database approach to integrating Industry Foundation Classes and CityGML data into a seamless database. In particular, they propose that using this approach, coupled with conceptual integration defined by a semantic layer, the resulting data can be seamlessly queried, and will consist of objects from IFC, objects from CityGML and objects that integrate properties from both.
- Burry et al. (2018) identify an interim level between BIM and the wider context usually represented by geospatial data (in their terminology, City Information Modelling) precinct level modelling. They note some general issues relating to using BIM for this level of modelling, including: large file sizes that make collaboration difficult, a limited number of analytical tools, limited validation tools for use on a wide range of datasets and too much focus on geometry rather than spatial objects. The paper explores, in particular, the use of generative design at the precinct level, mentioning that subtractive solar amenity preservation tool called ‘Subtracto-Sun’ (White, 2010, cited in Burry et al., 2018) was used to inform volumetric building restriction (Burry et al., 2018).
- Arroyo et al., (2018) present the results of a series of GeoBIM experiments noting in particular that problems with the BIM geometry can cause issues when integrating with geospatial data. Recommendations include using georeferencing but also making use of specific rather than generic IFC object types and where possible making use of valid volumetric objects. IFC spaces are noted as important both for buildings but also as a potential approach to modelling geological data in BIM and hence in a geospatial environment (Arroyo et al., 2018).

- Sun et al. (2019) performed a study of using a GeoBIM approach for updating 3D city models. Currently, such models are often updated using Airborne Laser Scanning (ALS). However, because of the long ALS update cycle, building information models (BIM) could be utilized to maintain the models. In their study, they designed, implemented, and evaluated a methodology to formalize the integration of BIM data into city models. CityGML models were created from BIM data and ALS/footprint data based on common modelling guidelines. The relative differences between the models are on the order of decimetres.

2.2 GeoBIM and Planning Permits

In case of the building permit process there are several advantages given by a GeoBIM approach compared to the current situation, which, in most countries today, is based on 2D cross-section drawings (of the building) and a 2-2.5D situation plan (showing where the building is situated on a municipal map). There are several building regulations currently checked manually that could benefit a GeoBIM approach to enabling automation, e.g. dimensioning and spatial design, especially when the constraints relate to the existing context, where the building is designed, such as to other buildings, roads, and so on; energy related analysis and simulations; detailed noise modelling; air pollution simulations; escape routes planning, and more. Furthermore, automation would mean that the objectivity in the interpretation of regulations, by both the designer and the Municipality offices in charge of the building permit, would increase, with clear advantages for both parties.

Recent research efforts focussing on this domain echo these general principles. Oldfield et al. (2018) examine GeoBIM and planning in conjunction with cadastral requirements in the Netherlands and look specifically at how having a clearly specified Information Delivery Manual can help towards a full lifecycle electronic buildings dossier. As well as introducing the important concept of an ‘As Sold’ model (along with an ‘As Permitted’ and ‘As Built’ model) they present some preliminary use cases (‘transaction maps’) to give a high level overview of the process. While not going into specific detail of which constraints can be automatically validated, they mention soil pollution, easements, whether the building positioned within legal boundaries or zoned correctly as examples of the types of things that could be checked (Oldfield et al 2018).

Brasebin et al. (2017, 2017b) have investigated the potential of 3D information systems and topographic data to translate urban regulation into 3D geometries, properties or relationships and study the impact of a regulation in terms of possible new buildings in a given urban area.

Olsson et al. (2018) present a detailed case study focussing on the potential for GeoBIM when issuing permits in Sweden. They note in particular three types of rules that could be checked: quantitative (e.g. area, height), qualitative (e.g. does the building fit the surroundings, meet local architectural standards) and visual (e.g. do the windows meet certain characteristics). Three detailed examples are presented: building height, building footprint and area characteristics, and emphasized the importance of clarity of the rules (e.g. how is height measured/calculated) as fundamental to any automation process. The BIM itself should also be modelled.
following a specific quality standard (with outer walls flagged as such, and with information relating to slabs/foundations to enable area calculation). Potential users include applicants and municipalities (Olsson et al., 2018).

3. METHOD

Two components of the second phase of the EuroSDR GeoBIM study are presented here: firstly, an update on the status of GeoBIM amongst the participating countries; and secondly, progress on the planning/permits use case.

3.1 GeoBIM Status Updates

National updates of GeoBIM activities across Europe were captured via short presentations from each team member during the 6-monthly EuroSDR GeoBIM project meetings/workshops, supplemented by the completion of an online spreadsheet outlining the various initiatives identified.

3.2 GeoBIM and Planning Permits

Questions relating to this Use Case include:

1. What workflow should be followed for effectively using GeoBIM information for a Building Permission use case?
2. What are the regulations that can be (semi)automatically checked by a GeoBIM approach?
3. What are the related requirements for, and availability of, data for this automation (accuracy, semantics, topology, structure etc)?

To answer the questions, a series of round-table discussions were held where all 12 partner countries in the project got together to develop a general workflow for planning permit issuing that would apply across national borders (also based on discussions with plan checkers in each country), and then to refine this workflow through the addition of further details at each stage, with specific focus on the information required and the types of geospatial analysis that could usefully be undertaken to improve current practice at each stage. This second, much more detailed, workflow was again reviewed by the project partners and then a Use Case diagram (using UML notation) was developed to identify the different actors involved in the process.

To ensure validity the generated workflows were validated through consultation interviews with practitioners both in local municipalities (in the Netherlands) and in private practice (architects in Italy, the Netherlands, the UK).

4. RESULTS

Section 4.1 presents the results of the work that updated the inventory of GeoBIM activities across Europe and Section 4.2 presents the workflow that we designed for effectively using GeoBIM information for the Building Permission use case

4.1 GeoBIM Status Updates

Over the past year, there have been signs of increasing recognition of the term GeoBIM - with Google Scholar reporting 54 papers in 2017, 67 in 2018 and 31 to date in 2019 (3rd July 2019). A summary of the reported GeoBIM projects by participant country is given here, and includes information about general initiatives relating to the digitalisation of a planning/permit workflow.

4.1.1 The Netherlands

In recent years, in the Netherlands, several initiatives are being developed to make use of integrated GeoBIM information. For example, the project “BIM verzamelen, verbindingen en visualiseren voor vergunningverlening”2 (started in 2018), in which Municipalities (Rotterdam, Den Haag), designers and constructors (Studio Schaefler, Dura Vermeer), research and service companies (TNO) participated, and investigated the use of BIM for building permission procedures. Moreover, an ongoing project “Digitale Stad en Omgevingswet” in the Rotterdam Municipality deals with the automation of building permit procedures, considering both BIMs and 3D city models.

Another project, “GeoBIM” (funded by RWS, BIM Loket, Kadaster, cities of The Hague and Rotterdam) was developed to work towards the integration of geoinformation (CityGML) with BIM. Stakeholders include: municipalities (Den Haag, Rotterdam), public entities (Rijkwaterstaat), standardisation entities (BIM Loket, Geonovum), research institutes (TU Delft, TU Eindhoven).

Finally, the “Smart data integration for urban applications” project is ongoing, involving the Amsterdam Institute of Advanced Metropolitan Solutions (AMS), TUDelft, Municipality of Almere (FLORIADE site), with the aim to integrate information (GeoBIM included) to support urban applications.

4.1.2 France

Various French municipalities (e.g. Brest Métropole, Rennes Métropole, Lille Metropole) are collaborating to improve the building permit rules through digitalization and harmonization of Local Urban Planning Plans and Rules (PLUs), under the coordination of CNIG (Conseil National de l’information Géographique) with the contribution of IGN (the French NMA: Institut Géographique National). Additionally, IGN has developed for the Ministry the National Urbanism Geoportal (GPU) whose aim is to facilitate the access to urban and public legal easement documents (using 2D data), for citizens and professionals. To gain legal status, from 2020, documents (including PLUs) will have to be published on Urbanism Geoportal (GPU6), (presently covering a limited number of cities).

As a correlated activity, IGN has submitted a CityGML profile specification (called REF3D NATA) to CNIG (Conseil National de l’information géographique) to serve as an input for the definition of the 3D urban geodata standard, with a core capability of exterior modelling. In the June 2019, IGN has also been involved with BIM models from new construction, in coordination with CSTB (Centre Scientifique et Technique du Bâtiment).

IGN is involved in the GeoBIM-related standardization activities in ISO TC211 (including the GeoBIM Technical

2https://depilotstarter.vrg.nl/sites/default/files/project_bestand/rapport_bim_verzamelen_verbinden_visualiseren_1.0_0.pdf (16-7-2019)
3https://3d.bk.tudelft.nl/projects/geobim/ (16th July 2019)
4https://3d.bk.tudelft.nl/projects/geobim/ (16th July 2019)
5http://gn.gouv.fr/?page_id=2732 (15th July 2019)
6http://www.geoportal-urbanisme.gouv.fr/ (15th July 2019)
4.1.5 Spain (Catalonia) A project involving the Departments of the Generalitat de Catalunya (the regional government) is looking at the legal mandate: for the use of the government BIM projects for rapid and precise updating of the ICGC (Institut Cartogràfic i Geològic de Catalunya) topographic databases (CT1M on urban areas at 1:1000 and BT5M full coverage at 1:5000).

4.1.6 Finland Various municipalities (Evotta Oy, Solibri Oy, Gravicon Oy, Sov3D Oy, Lehto Group Oy) are collaborating to improve the building permit process through digitalisation, specifically looking at BIMs in building control inspections, setting up a building permit process based on data models and developing a data model based building permit for a block of flats.

The cities of Helsinki and, Sitowise are examining BIM and GIS as key ingredients for a digital twin10. The municipalities of Sitowise Oy, Vektorio and Skanksa Oy along with the Metropolis University of Applied Sciences are looking at improving the efficiency of various construction processes through GeoBIM including combining 3D models of a building project with cadastral and city data.

4.1.7 Norway Conveners Sigve Pettersen, BuildingSmart and Morten Borrebak from Kartverket (the NMCA) are working with an international group (43 members, from 10 countries) to write a technical report that points out standardization challenges related to interoperability in the context of GeoBIM (ISO/TC59/SC13 JWG 14) (IGN France are one of these partners).

The Norwegian Mapping Authority (across multiple internal divisions) is also working on a study and report relating to how GeoBIM can be used within the authority, to underpin a BIM strategy for the organisation. In parallel with this, they are looking at how BIM can be used within a cadastral context and to update the topographic mapping database.

4.1.8 Ireland Dublin recently held a 3D Data Hackathon with the aim to increase the public use of open BIM and related datasets, including GeoBIM, and seeking new solutions, applications and services addressing four challenge areas: Transportation, Mobility & Environment, Urban Planning & Digital Construction, City, Infrastructure & Asset Use and Civic Engagement & Serious Gaming. Use cases examined related to a wide range of topics including geoBIM and planning and the event was held in partnership with Dublin City Council and the GrangeGorman Development agency (amongst others12).

4.1.9 United Kingdom In the wider planning context, The London Borough of Hackney is working with Snooq to develop a simplified online planning permit application process with a wizard based approach, with the aim to overcome the issue that 61% percent of planning applications submitted to Hackney are invalid due to missing fees or incomplete applications.

## References

7 https://simpla3d.github.io/ (15th July 2019)
8 https://demo-simpla3d.ign.fr (15th July 2019)

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https://doi.org/10.5194/isprs-archives-XLI-4-W15-53-2019 © Authors 2019. CC BY 4.0 License.
missing or incomplete documents. While at this stage BIM submissions are not considered (PDF documents are still required) this approach opens the door to further digital submissions in future13.

In addition, two other initiatives highlight the increasing use of BIM (beyond government contracts) in the country. In relation to the organisation of information for construction works. To assist the process of transitioning from national standards PAS 1192 to the ISO standard ISO 19650, the Centre for Digital Built Britain have released a national transition document which in particular notes the importance of information management in moving towards a digital built Britain, also noting that the ISO 19650 series considers all information whether it is a construction programme, a record of a meeting, a geometrical model or a contract administration certificate. The Hackitt Review (published following on from the Grenfell Tower Fire in which 72 lives were lost15) recommended the use of BIM as an information management approach for all buildings higher than 10 stories16.

4.2 GeoBIM and Planning Permits: Initial workflow

Table 1 presents a comparison of current and GeoBIM-enabled planning/permit workflows:

<table>
<thead>
<tr>
<th>Current Workflow</th>
<th>GeoBIM Workflow</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building designers design the building in BIM/CAD software</td>
<td>As for current workflow</td>
</tr>
<tr>
<td>They export the needed 2D data for building permission (with loss of data from such a rich and powerful tool as BIM)</td>
<td>Digital design kept in BIM - it can be pre-checked against any municipal rules and adapted accordingly or, even better, modelled following templates and constraints directly given by the municipality</td>
</tr>
<tr>
<td>Locate some of the 2D drawings in the city map to show the context, without a defined methodology and with consequent possible errors and blunders in the location</td>
<td>Digital design in georeferenced BIM through a clear and controlled method, inserted in the 3D city model.</td>
</tr>
<tr>
<td>The Municipality office checks the regulations compliance through a partial view of the project: the 2D representations aided by a report submitted by the applicant about</td>
<td>Digital design submitted and the design validated against urban regulations automatically where possible, removing subjectivity and inconsistent decision</td>
</tr>
</tbody>
</table>

The Hackitt Review (published following on from the Grenfell Tower Fire in which 72 lives were lost15) recommended the use of BIM as an information management approach for all buildings higher than 10 stories16.

Table 1. GeoBIM Links into High Level Planning/Permit Phases

<table>
<thead>
<tr>
<th>Dimensions, technical details, and so on</th>
<th>3D BIM (as built) fed into the national 3D map, and also kept for downstream uses e.g. asset management</th>
</tr>
</thead>
<tbody>
<tr>
<td>After the building is approved and built, the existing BIM is no more used (and potentially lost) and the city model needs to be updated through new surveying, modelling and checking phases</td>
<td>Figure 1 shows a UML diagram representing the workflow of the planning process that we designed. The initial stage takes geospatial data, together with the coded city regulations to be respected, into the BIM to allow for context in any design process. Once the design is complete, it is submitted to the planning authorities, and a cycle of check/ adapt/re-check carried out as necessary to validate the formal structure of the model (geomeric, semantic and georeferencing validity). After that, the model is used, in integration with the 3D city model, to check the compliance with city regulations in a second check/ adapt/re-check cycle. After it’s checked, the permit issued and the building built, the final ‘as-built’ design is submitted for future use and storage. IFC (industry foundation classes, the data exchange standard for BIM) and CityGML (3D data standard for geospatial) are suggested as exchange formats. A more detailed data exchange workflow and diagram can be found in Noardo et al. (2019).</td>
</tr>
</tbody>
</table>

Figure 2 shows a UML Use Case diagram detailing the actors involved at each stage. These include: designers, municipality authorities, building inspectors, GeoBIM data experts, surveyors, the NMCA, urban planners and the applicant themselves (the specific users will vary from country to country).

Within this workflow, the possibility for a generative design process could also be considered: i.e. design where some elements are automated to fit within the specific planning constraints applicable to the site, allowing the rapid generation of automated proposals (e.g. Burry et al., 2018 note the potential applicability of this approach at precinct level).

5. DISCUSSION AND CONCLUSION

This paper set out to give a progress update on the EuroSDR GeoBIM project and to present some details about a planning/permits Use Case being developed as part of this project.
Figure 1 – Integrated Planning Workflow Diagram

Figure 2 – UML Use Case Diagram for the Planning/Permits Workflow
As can be seen similar to our initial status report in (Ellul et al., 2018) the GeoBIM maturity situation is still very mixed across Europe, although there are increasing signs of GeoBIM activity at national level, particularly in Sweden, The Netherlands, Norway and France. However, it should be noted that the previous report was created as a result of a survey distributed to a wide range of participants, whereas for this update we have only consulted project partners, and thus the projects described are limited by the extent of their personal awareness of such projects.

Our additional work on the planning/permits use case shows that there is indeed potential for this application of GeoBIM and we are now able to identify the actors/users and how they will interact with the workflow, as well as the data exchange points and the specific types of activities (e.g. constraints checks) that can be supported and equally importantly the limits of this process (i.e. checks that cannot be automated).

The work presented in this paper has focussed on planning/permits, and highlights the potential of GeoBIM for pre-construction and construction phases of a building’s life-cycle. This work will be followed up by a specific demonstrator. As part of this, IGN with its partner Immobilière 3F (a major social housing company in France) has submitted the BIM model of a social housing project in Epone (78680, Ille de France), and IGN will be providing the geospatial reference data (cadaster units, Urban CityModel – CityGML LoD 1, adjacent streets) for this purpose.

Across the wider EuroSDR project, further work will focus on extending the project to the operational phase, with specific focus on the handover from construction to operation (through the means of an ‘as-built’ model of the building), through the development of an asset management Use Case. This will also include an analysis of data requirements of both BIM and Geo data at every step.

Looking in the other direction, Lau et al. (2018) further note the potential for a geoBIM approach during pre-construction, and in particular the importance of having access to data with regards to the site itself in terms of de-risking the construction process. Coupling these ideas may enable us to move from what Kiavarz et al. (2018) frame as GeoBIM for specific applications in certain fields towards the second approach that they identify: a unified model for a whole lifecycle.

Importantly, the data captured by any GeoBIM process is a fundamental component of any digital twin - as outlined by the Centre for Digital Built Britain’s Gemini Principles’ Information Value Chain (CDBB 2018, p9). As Batty (2018) notes, a digital twin is not - in reality - an identical twin of the real city, and that abstraction is part of any model creation process. As with any model, the abstraction challenge for GeoBIM is deciding which elements of each phase of modelling should be included in the integrated system, which as in modelling will require an understanding of the end use of the system. The emergence of Model View Definitions which are a subset of the IFC schema for a specific purpose, e.g. for costing a curtain wall or for data archiving (Building Smart 2019), is very relevant to this task. Additionally, this work should also take note of the changes within Building Information Modelling. In particular, the division into PIM (Project Information Model, relating to the delivery phase) and AIM (Asset Information Model, relating to the operation phase) within ISO 19650:2018.

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7. REFERENCES


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