

SEN2-AGRI - CROP TYPE MAPPING PILOT STUDY USING SENTINEL-2 SATELLITE IMAGERY IN INDIA

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ABSTRACT:

Large-scale mapping and monitoring of agriculture land use are very important. It helps in forecast crop yields, assesses the factors influencing the crop stress and estimate the damage due to natural hazards. Also, more essentially, aids in calculating the irrigation water demand at the farm level and better water resource management. Recent developments in remote sensing satellite sensors spatial and temporal resolutions, global coverage and open access such as Sentinel-2, created new possibilities in mapping and monitoring land use/land cover features. The present study investigated the performance and applicability of Sen2-Agri system in the heterogeneous cropping system for operational crop type mapping at parcel resolution using time series Sentinel-2 multispectral satellite imagery. The parcel level crop type information was collected in the field by systematic sampling and used to train and validate the random forest (RF) classification in the system. The classification accuracy varied from 57% to 86% for different major crops. The overall classification accuracy was 70% with KAPPA index of 61%. The very small agriculture field size and persistent cloud cover are the major constraint to the improvement of classification accuracy. Combination of the time series imagery from multiple earth observation satellites for the monsoon cropping season and development of a robust system for in-situ data collection will further increase the mapping accuracy. Sen2-Agri system has the potential to handle a large amount of earth observation data and can be scaled up to the entire country, which will help in the efficient monitoring of crops.

1. INTRODUCTION

Climate change affects the global agriculture and food security in complex ways (Schmidhuber and Tubiello, 2007). In the context of climate change and food security, the region-specific crop inventories are important which helps in identifying factors influencing crop stress, simulate and predict crop yield changes and analyses the market risks (Doraiswamy et al., 2003; Monfreda et al., 2008; Mittal, 2012). There are multiple global agriculture monitoring systems are in place for decades for agriculture monitoring and early warning (Becker-Reshef et al., 2010). Fritz et al., (2019) compared the eight global agricultural monitoring systems and highlighted the uncertainties in the crop type maps, which are viewed as critically important. Remote sensing data is adequately used for cropland mapping at regional (Biradar and Xiao, 2011; Xiong et al., 2017; Teluguntla et al., 2018) and global scale (Pittman et al., 2010; Thenkabail et al., 2010; Matton et al., 2015). Moderate spatial resolution remote sensing data is exploited in mapping global rain-fed area (Biradar et al., 2009; Salmon et al., 2015) and irrigated area (Thenkabail et al., 2009; Siebert et al., 2015), crop type (Zheng et al., 2015; Asgarian et al., 2016; Kussul et al., 2017) and crop condition monitoring (Wu et al., 2015). Nevertheless, the available cropland maps are of coarse spatial resolution and lack of sufficient accuracy for use in assessment and planning purposes (Fritz et al., 2013). However, the launch of Sentinel series satellites has created tremendous possibilities for improving the accuracy in cropland mapping and efficient agriculture monitoring. The Sentinel-2 (S-2) twin satellites equipped with Multispectral Imager (MSI) has the advantage of lesser revisiting time (5 days), high spatial

resolution (10 meters), and a number of bands in the red-edge spectrum. Attempts were made to combine Sentinel-2 and Landsat satellite data to produce hybrid imagery to improve the number of observations and obtain cloud-free composite for crop mapping at a regional scale (Skakun et al., 2017; Griffiths et al., 2019). Moreover, the Copernicus open-access hub provided full free access to sentinel series satellite imagery from 2014 onwards and published more than 4.81 petabyte volume of data (European Space Agency, 2018). This creates a new challenge and opportunity for developing automated systems that handle a large volume of remote sensing data and efficient information extraction. At the same time, the cloud-processing facilities along with the application of artificial intelligence and machine learning algorithms getting more attention in remote sensing. It benefits the satellite data processing by optimization in handling a large volume of data, automation, and information extraction (Lary et al., 2016). The well-established machine learning methods such as support vector machine (SVM) and random forest (RF) are adequately used in crop type mapping because of their capability to produce accurate classification results with limited training samples and high computational efficiency (Mathur and Foody, 2008; Löw et al., 2013; Waldner et al., 2015). Inglada et al., (2015) evaluated the performance of an open-source operational system processing chain "Sentinel-2 for Agriculture" funded by the European Space Agency (ESA) for automated crop type mapping. The system evaluated with processing strategies including RF and SVM classifiers for crop mapping in multiple sites and the results were compatible with the operational production of crop type maps at country scale. Later the system was named as "Sen2-Agri" operational standalone processing

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with predefined sequential operations for near-real-time agriculture monitoring at parcel resolution using Sentinel-2 and Landsat data and tested in various cropping systems in the world (Defourny et al., 2019). The objective of the present study is to investigate the performance and applicability of Sen2-Agri system in the heterogeneous cropping system in India for operational crop type mapping at parcel resolution.

2. STUDY AREA AND DATA

The study is piloted in the Sangamner administrative block of Ahmednagar district, Maharashtra, India. It is located between 74° 01' 10"–74° 27' 20" E and 19° 12' 09"–19° 46' 51" N, covers a geographical area of 1,688 km². The climatic zone of the study area is 'semi-arid' with a mean annual rainfall of 480 mm. Agriculture in this region is predominantly rainfed situated in northern and southern higher elevation profiles. The central part of the study area along the Rivers Pravara and Mula are concentrated with irrigated cropping (Figure 1).

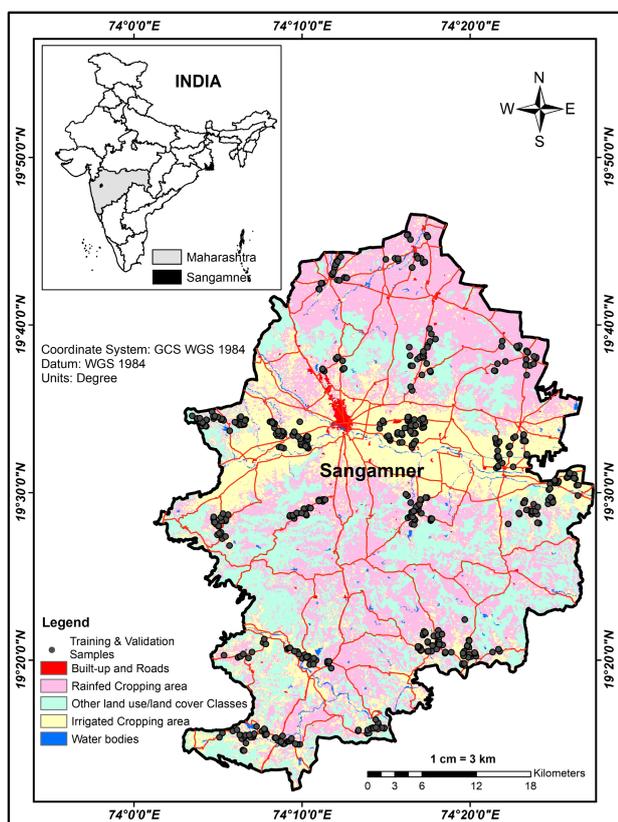


Figure 1. Location of the study area and training samples

In this region crops are cultivated during three seasons: (i) Kharif (July–October, monsoon crops), (ii) Rabi (October–March, winter crops), and (iii) Zaid (March–June, summer crops). Major crops cultivated in the rainfed region include pearl millet, maize, onion, sorghum, soybean, and the irrigated region farmers grow water-intensive crops such as sugarcane, pomegranate, and fodder crops (alfalfa).

The S2 series Level-1 satellite imagery pertaining to monsoon (Kharif) cropping season of the area was downloaded from Copernicus open-access hub (<https://scihub.copernicus.eu>). The dates and cloud cover details of the scenes used in this study are provided in Table 1. Study region has persistent high cloud cover during the Kharif season thus the scenes covered with

more than 90% cloud cover are excluded. S-2 satellites acquire data on 13 spectral bands in the visible and near infrared (VNIR) and shortwave infrared (SWIR) with multiple spatial resolutions including 10m (4 bands), 20m (6 bands) and 60m (3 bands).

| Satellite - sensor | Date | Cloud cover Percentage |
|--------------------|-------------|------------------------|
| S2A-MSI | 02-Sep-2018 | 65 |
| S2A-MSI | 12-Sep-2018 | 13 |
| S2A-MSI | 02-Oct-2018 | 09 |
| S2B-MSI | 07-Oct-2018 | 0 |
| S2A-MSI | 12-Oct-2018 | 0 |

Table 1. S-2 satellite imagery used in this study

The crop type in-situ data were collected at parcel level during the end of the growing season (October 2018) to perform the training and validation of image classification. The handheld GPS Garmin-eTrex[®] 20× with 2-3 m of location accuracy was used to geotag the crop type information. In total 535 parcel data for 6 major crops were collected systematically covering the entire study area (Figure.1). Training samples of non-agricultural land cover classes were obtained by visual interpretation of very-high-resolution satellite data of Google Earth comparing with S-2 imagery.

3. METHODS

The methodology workflow of Sen2-Agri system used in this study is proved below in Figure.2.

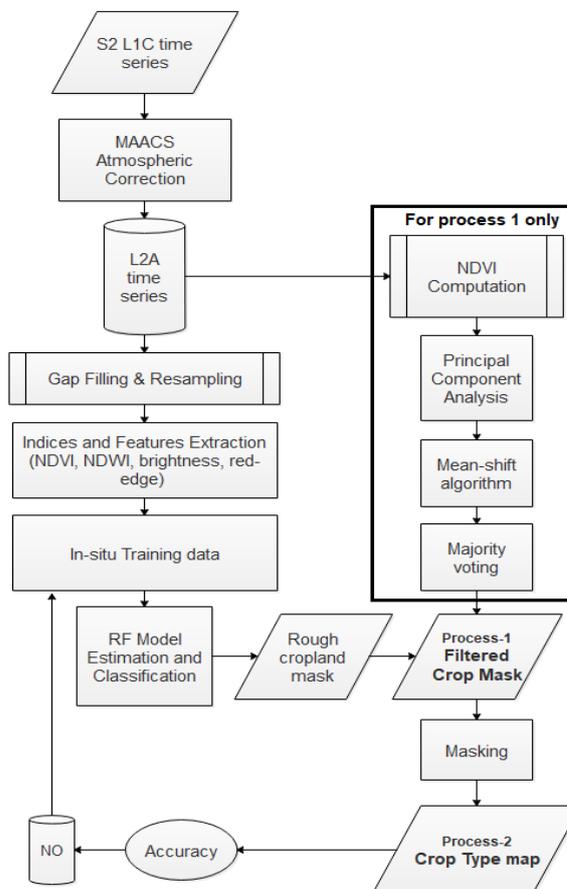


Figure 2. Methodology workflow of Sen2-Agri system

Sen2-Agri system provides a set of independent image processing modules, which rely on open-source Orfeo Toolbox (OTB). The process chain with two options i) automated execution using an Orchestrator manager which requires high computing power and ii) manual execution of modules. The detailed description of the modules and the system is available at Defourny et al., (2019). In the present study, the modules are manually executed for temporal synthesis processing, crop mask, and crop type map.

3.1 Pre-processing

The S2 level-1C series data is processed with S2-level 2 module MACCS (Multi-sensor Atmospheric Correction and Cloud Screening)–ATCOR(Atmospheric and Topographic Correction) joint algorithm known as MAJA (MAJA, 2017) module which detects the clouds and their shadows, and estimates aerosol optical thickness (AOT), water vapour and corrects for the atmospheric effects. Further, in the L2A series, the clouds and cloud shadows pixels were replaced with the 5-day temporal grid by linear interpolation and gap filling.

3.2 Crop mask and Crop Type Mapping

The gap-filled data was used to compute various indices including NDVI, NDWI, brightness, and red-edge and stacked with temporal surface reflectance products. The in situ data and the indices are then used to train the RF classifier. NDVI time series from L2A individual scenes are computed to perform the majority voting for producing optimal crop mask

Layer (L4A). The process chain of gap filling, feature extraction and RF classification model training using in situ data and classification will be repeated for process 2 crop type mapping (L4B). The crop mask created during process 1 used for masking noncropland area.

Table 2. F1-Scores and classification accuracy of crop type map

3.3 Accuracy Assessment

In total, 535-crop parcel sample were collected for training and validation purpose of 6 major crops. The samples were randomly split into calibration (75%) and validation (25%) sets. Confusion error matrix will be calculated with the process chain to understand the overall, user and producer accuracies. Overall accuracy was calculated to an agreement between the map and the reference information with KAPPA index. The F1-Score also calculated for the crop type classes based on producer and user accuracies (Powers 2011).

4. RESULTS

4.1 Accuracy Performance

The accuracy assessment results of RF classification at the crop type map is provided in Table 2. The results showed the overall accuracy of 70% with KAPPA index of 61%. The higher classification accuracy of 86% attained by Soybean class with F1-Score of 0.64. The lowest classification accuracy observed in Maize with 57% class accuracy and the F1-Score of 0.34. The possible reason for the lower classification accuracy is mainly due to the size of agricultural fields in the study area, smaller amount of training samples and cloud cover.

The average field size of crops pearl millet, fodder, maize, pomegranate, soybean, sugarcane is 0.48 ha, 0.45 ha, 0.29 ha, 0.27 ha, 1.1 ha, and 0.58 ha respectively. The important Kharif months July to August is completely covered with cloud cover and the imagery used in this study pertaining to early September also have the cloud cover of almost 63%.

| Crop class | Cropland samples | F1-score | Classification accuracy (%) |
|--------------|------------------|----------|-----------------------------|
| Pearl Millet | 80 | 0.73 | 61 |
| fodder | 84 | 0.73 | 61 |
| Maize | 29 | 0.34 | 57 |
| pomegranate | 165 | 0.72 | 75 |
| soybean | 18 | 0.64 | 86 |
| sugarcane | 159 | 0.74 | 80 |

4.2 Crop Mask and Crop Type Map

The crop mask classification accuracy of cropland and non-cropland classes are 84% and 91% respectively. The binary crop mask map of Sangamner with cropland and non-cropland is shown in Figure 3. MAJA processor extracted the bad quality pixels with cloud/cloud shadow and flagged the all S-2 series imagery. The problematic pixels were further replaced by linear interpolation and gap filling fusing good pixels from the time-series and which created the possibility to develop a cloud free composite S-2 series in Kharif season and it is used for crop type mapping.

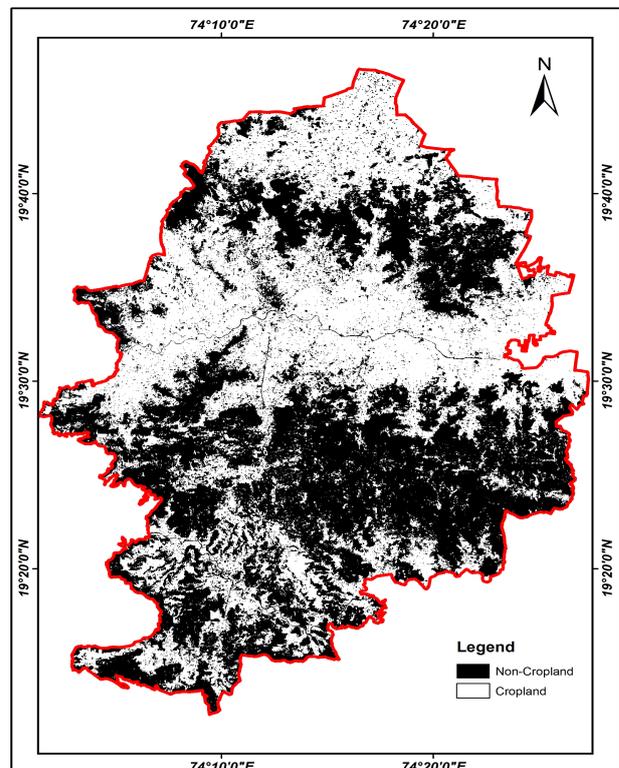


Figure 3. Crop mask created from S-2 series imagery

The second process chain crop type mapping produced the 10m spatial resolution crop type map of six major crops in the

rained and irrigated area of Sangamner block and shown in Figure 4.

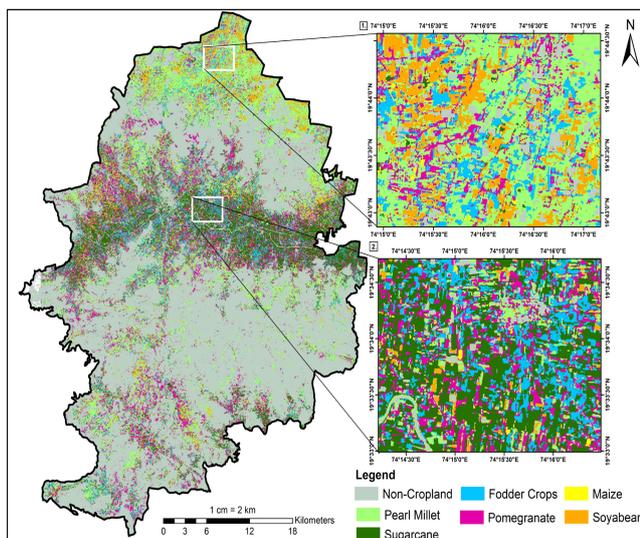


Figure 4. Crop type map (10m) obtained using RF classification. Inset 1 shows the crops of rainfed and inserts 2 shows the irrigated area

| Crop | Area in ha |
|--------------|------------|
| Pearl Millet | 32,140 |
| fodder | 9,784 |
| maize | 1,692 |
| pomegranate | 19,632 |
| soybean | 5,149 |
| sugarcane | 15,349 |
| Total | 83,746 |

Table 3. Cultivated area of major crops in Kharif 2018

The rainfed region with comparatively larger field size and less heterogeneity of crops produced high classification accuracy. In the irrigated cultivation area the diversity of crops are very high with smaller field size and it created difficulty in separation of crops in smaller field size. For example, a number of maize crop pixels were classified as sugarcane and the intermixing of pomegranate and sugarcane was noticed.

5. CONCLUSIONS

The study tested the capability of the free and open-source Sen2-Agri system to discriminate six major crops in the heterogeneous cropping system using a limited number of field samples and S-2 series multispectral satellite imagery. The overall classification accuracy was 70% with KAPPA index of 61% attained using RF classification. The classification accuracy of crop types varied from 57% to 86%. The crop type maps are essential for estimating the loss and damage in case of natural disasters like floods, drought, and pest attacks. Further the crop type database with loss data aids in index-based insurance and improve the efficiency in insurance subsidy payouts. More importantly, the irrigation water resources

management require information on cropping patterns to prepare optimal water allocation decisions. However, the classification accuracy of the crop type mapping was hampered due to small agriculture field size and persistent cloud cover in the area. Combination of the time series imagery from multiple earth observation satellites for the monsoon-cropping season possibly increase the chances of getting the cloud-free composite. Furthermore, the development of a robust system for in-situ data collection will further increase the mapping accuracy. The improvement in the accuracy will aid in operationalizing the earth observation for near-real-time agriculture monitoring.

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