

3D HABITAT COMPLEXITY OF CORAL REEFS IN THE NORTHWESTERN HAWAIIAN ISLANDS IS DRIVEN BY CORAL ASSEMBLAGE STRUCTURE

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ABSTRACT:

Corals act as ecosystem engineers by secreting structurally complex calcium carbonate skeletons on the benthic substrate that provide habitat for a diverse array of associated reef organisms. Communities of living corals create large and dynamic benthic structures that directly affect ecological parameters such as habitat provisioning and light availability, thus influencing overall ecosystem function. Despite the important role 3D structural complexity plays in ecosystem biodiversity and productivity, the field of coral ecology has lacked accessibility to practical technology capable of quantifying 3D characteristics of underwater habitats. Advancements in the field of computer vision has led to Structure-from-Motion (SfM) photogrammetry, which provides a simple and cost-effective method for creating high-resolution and spatially accurate 3D reconstructions of natural environments. Integrating SfM approaches into coral reef research and monitoring has provided useful insight into the relationship between 3D habitat complexity and ecological processes. In this study, we examined the relationships among 2D estimates of live coral cover and several metrics of 3D habitat structural complexity among eleven long-term monitoring sites at French Frigate Shoals. Our findings show that coral assemblage structure acts as a significant driver of 3D structural complexity of coral reef habitats at this atoll. This study highlights the importance of diverse and abundant coral assemblages in supporting structurally complex coral reef habitats and provides a framework for future investigations into the ecological role of various coral morphotypes.

1. INTRODUCTION

Coral reefs are some of the most productive and diverse ecosystems on the planet. Unfortunately, coral reefs are also threatened by an array of global and local stressors that have led to large-scale mortality and loss of live coral throughout the world's ocean (Harvell et al. 2007, Hoegh-Guldberg et al. 2007, Hughes et al. 2018). The frequency and severity of environmental stressors are predicted to increase (Knowlton 2001, Hughes et al. 2003, Hughes et al. 2018), thus it is critically important to quantify how subsequent shifts in coral assemblage structure will alter ecological processes and ecosystem services.

The 3D physical structure of habitats plays a fundamental role in the organization, function, and resilience of ecosystems (Nash et al. 2014, Richardson et al. 2017). Habitat structural complexity has been shown to support high levels of abundance and diversity of associated taxa across a range of environments (Huston 1979, Guinan et al. 2009, Graham and Nash 2013). Complex and dynamic habitat facilitates an array of ecological niche space at various spatial scales which provides refugia for a multitude of species (Crowder and Cooper 1982, Stachowicz 2001). The structural complexity of habitats is typically created by communities of ecosystem engineers (e.g., trees, seaweeds, oysters, grasses, corals), which are any organism that creates, modifies, maintains, or destroys a habitat (Jones et al. 1996, Richardson et al. 2017). 3D habitat structural complexity on coral reefs is primarily driven by the abundance of live sessile reef-forming corals. Corals are structurally diverse organisms that exhibit a range of morphologies (e.g., massive, branching tabulate, encrusting, or foliose) and high levels of environmental plasticity (Todd 2008). The architecturally complex habitats created by living corals support some of the most diverse, productive, and economically valuable ecosystems on the planet

(Costanza et al. 1997, Moberg and Folke 1999, Hoegh-Guldberg et al. 2007).

Over the past decades, research conducted on reefs throughout the global ocean has found the loss of live coral to be associated with reductions in habitat complexity, which in turn has caused a decline in the abundance and diversity of associated reef fish and invertebrates (Graham et al. 2006, Alvarez-Filip et al. 2009, Walker et al. 2009, Graham and Nash 2013). Corals exhibit differential susceptibilities to disturbances, thus persistent disturbance events can result in homogenized coral assemblages dominated by stress tolerant species (Pratchett et al. 2011, Darling et al. 2013, Richardson et al. 2017). Morphologically complex corals that exhibit intricate branching, plating, and corymbose morphologies are generally more susceptible to disturbance and disease (Gates and Ainsworth 2011, Woesik et al. 2011). Disturbance events can lead to reductions in the abundance of these structurally complex corals and result in significant declines in 3D structural complexity of coral reef habitats (Burns et al. 2016, Couch et al. 2017, Magel et al. 2019). Our changing climate is likely to result in shifts of coral assemblage structure which will impact the habitat structural complexity of coral reefs. Currently, the link between coral assemblage structure and 3D habitat complexity is poorly understood. More research is needed to identify the connections between coral assemblage structure and 3D reef structural complexity in order to predict how coral reef ecosystem function will be altered under future climate conditions.

A primary challenge in linking coral assemblage structure to 3D habitat structural complexity has been the lack of available techniques for quantifying 3D features underwater. The proportion of live coral cover on a reef has been the most commonly used metric for characterizing coral communities

(Leujak and Ormand 2007, House et al. 2018). While there are a multitude of specific approaches for estimating live coral cover, the simplicity of this metric is conducive for rapid and standardized monitoring across a range of spatial scales (House et al. 2018). Unfortunately, the link between 3D reef complexity and live coral assemblage structure cannot be captured by solely implementing conventional 2D approaches into monitoring programs (Alvarez-Filip et al. 2011, Graham and Nash 2013). Accurate measurements of 3D habitat structural complexity are needed to determine how changes in coral assemblage structure can impact the physical structure of reef habitats and alter large-scale ecological processes and ecosystem services.

Coral reef ecologists have long recognized that the 3D structural features of coral reefs influence important ecological processes (Risk 1972, Luckhurst and Luckhurst 1978, MacArthur 1984, Kostylev et al. 2005). However, due to high cost and difficulty of implementing 3D techniques into monitoring programs, the field of coral ecology has only recently developed practical approaches for quantifying 3D characteristics of coral reefs (Burns et al. 2015, Figueira et al. 2015, Leon et al. 2015, Ferrari et al. 2016, Burns et al. 2016). These new innovative approaches rely on Structure-from-Motion (SfM) photogrammetry, which is a cost-effective and automated range imaging technique for estimating three-dimensional structures from two-dimensional image sequences (Snavely et al. 2008, Westoby et al. 2012, Fonstad et al. 2013). SfM photogrammetry processes overlapping imagery with scale-invariant feature transform (SIFT), which is a feature detection algorithm in computer vision that detects and describes local features in images. This technique does not require known 3D locations prior to calculating camera positions, and thus provides a fast and simple method for generating high resolution 3D models of natural environments (Westoby et al. 2012). The 3D products (e.g., point clouds, digital elevation models, digital surface models, orthophotomosaics) derived from SfM photogrammetry have been demonstrated to have high precision and accuracy across multiple spatial scales that are comparable to other 3D remote sensing techniques such as LiDAR (Delparte et al. 2014, Javernick et al. 2014, Remondino et al. 2014, Storlazzi et al. 2016). The ability to create high-resolution 3D reconstructions of coral reef habitats dramatically enhances the ability of research to study the connections between structural complexity and ecosystem function.

This study aimed to improve our understanding of how conventional 2D coral reef survey metrics (proportion of live coral cover) are associated with 3D reef structural complexity. We used coral reef survey data from the Northwestern Hawaiian Islands (NWHI). The NWHI consist of ten major islands and atolls that span approximately 2000-km (Figure 1a). They are part of the Papahānaumokuākea Marine National Monument, a marine protected area encompassing 1.5 million square kilometers that is one of the largest conservation areas in the world. The monitoring of shallow-water (≤ 30 m) coral reef fish and reef habitats is conducted annually in the NWHI as part of the reef assessment and monitoring program (RAMP). One primary objective of these expeditions is to characterize coral health and assemblage structure throughout the NWHI. Since 2012, we have conducted 3D surveys of long-term study sites in conjunction with annual RAMP surveys. For this study, we conducted multiple regression and multivariate analyses to determine how 2D estimates of live coral cover (delineated by coral genus and morphology) are related to values of 3D reef structural complexity at eleven long-term monitoring sites throughout French Frigate Shoals, the largest atoll in the NWHI (Figure 1b). The results from this study provide valuable insight into how 2D estimates of live coral cover are associated with 3D habitat complexity on coral reefs.

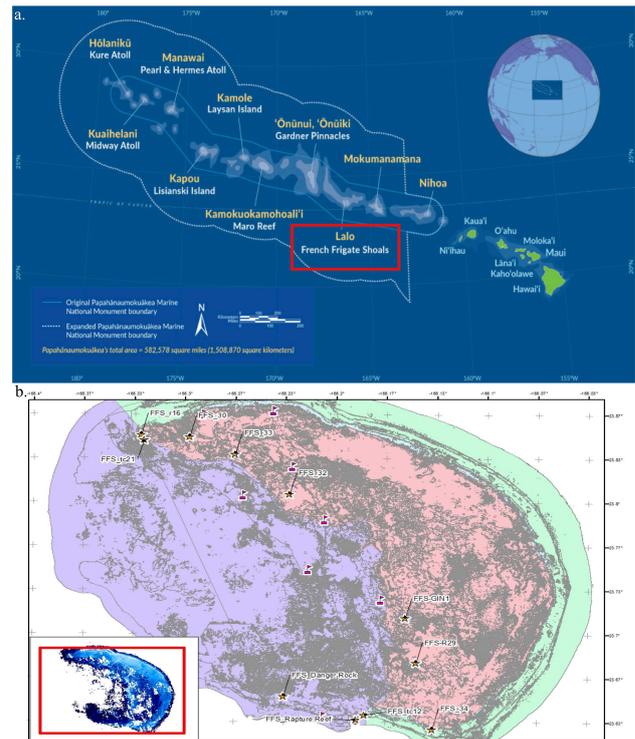


Figure 1. Site images showing a) the islands and atolls within the Papahānaumokuākea Marine National Monument and, b) the specific locations of long-term monitoring sites (indicated by star symbols) at French Frigate Shoals.

2. METHODS

2.1 Image acquisition

Images were collected from coral reef study plots at French Frigate Shoals ($23^{\circ}43'03.2''N$, $166^{\circ}11'10.2''W$), an atoll located in the Papahānaumokuākea Marine National Monument. All surveys were conducted during a 2017 research expedition aboard NOAA Ship Hi'ialakai (R 334, National Oceanic and Atmospheric Administration). Divers using SCUBA surveyed two 5×10 -m plots at eleven long-term monitoring sites distributed throughout the atoll (Figure 1b). Each plot is marked with permanently installed pins to demarcate the 5×10 -m plot area. Ground control points (GCPs) and scale bars were placed at each end of the survey plot to enable accurate orthorectification of the resulting 3D models. The divers collected overlapping (70–80%) planar images of the benthic substrate while swimming in a boustrophodonic pattern approximately 1-m above the substrate following the methods developed by Burns et al. 2015. All photos were taken with either a Canon 5D Mark III digital SLR camera with a 24-mm lens in an Ikelite housing with a 20-cm hemispheric dome port or a Canon EOS Rebel SL1 digital SLR camera with an 18–55 mm lens in an Ikelite housing with a 15-cm dome port.

2.2 3D reconstructions

3D reconstructions were rendered using Agisoft PhotoScan software (Agisoft LLC., St. Petersburg, Russia). The image processing workflow was conducted in the following phases: 1) image alignment, 2) generation of sparse 3D point cloud, 3) GCP scaling and image optimization, 4) generation of dense 3D point cloud, 5) rendering of continuous mesh model, 6) rendering of textured digital surface model, and 7) rendering of a digital elevation model (DEM) and orthomosaic. The 3D

reconstructions were orthorectified by creating a local coordinate system for each plot using the known spatial x-, y-, and z- values associated with the GCPs. The DEM is a raster file that represents the 3D elevation of the reef substrate as a grid of squares, and the orthomosaic is an orthorectified, high-resolution image created by stitching the source photos used for 3D reconstruction. The orthomosaics and DEM are projected using the same local coordinate system so they can be layered to perform identification and measurement of individual coral colonies (Burns et al. 2015).

2.3 Estimation of live coral cover and quantification of 3D habitat structural complexity

Orthomosaics were uploaded into CoralNet software to quantify estimates of live coral cover for each survey plot (Beijbom et al., 2012). 1000 random points were annotated onto each orthomosaic and each point was manually classified. All abiotic and biotic features were annotated, and live coral was classified down to genus level and associated morphology (e.g., tabulate *Acropora*). The proportion of each annotation category was used to determine estimates of live coral cover for each long-term study plot.

Metrics of 3D habitat structural complexity were quantified using *3D analyst* and *spatial analyst* tools in ArcMap geospatial software (ArcGIS 10.5, Environmental Systems Resource Institute, Redlands, USA). The DEM and orthomosaics were uploaded into ArcMap and the editor tool was used to digitize the 5x10-m spatial area of the long-term study plots. The DEM and orthomosaic from each study plot were layered together in order to analyze 3D structural characteristics among all surveyed sites. The cell size of the DEM was set to 1.0-cm to quantify fine-scale variability in the 3D structural complexity of each study plot. The following geospatial metrics were quantified from the DEMs to analyze 3D structural characteristics that are known to affect the biodiversity and abundance of marine organisms (Kostylev et al., 2005, Noonan et al. 2012, Figueira et al. 2015).

Surface complexity values were calculated as the ratio of the total 3D surface area to the total 2D surface area of the digitized survey plot area. *Slope* values were calculated using the Benthic Terrain Modeler tool, which computes slope on a geodesic plane using a 3x3 cell neighborhood and improves on the planar method by measuring the angle between the surface and the local x-, y-, z-coordinates for each of the 8 adjacent cells and is fitted with least squares (Walbridge et al. 2018). *Curvature* values were computed using the ArcMap spatial analyst tool. Curvature is the second derivative of the bathymetric surface, or the first derivative of slope. Curvature is evaluated by first calculating the second derivative for each cell in the surface using a moving 3x3 window, and then fitting a fourth order polynomial to the values within the window (Walbridge et al. 2018). Curvature is also quantified in a parallel direction to slope (*profile curvature*) and a perpendicular direction to slope (*planiform curvature*). Positive and negative curvature values represent upwardly concave or upwardly convex surfaces, respectively, and provide a method to describe the concave and convex nature of the benthic substrate such as peaks, ridges, channels, and planar regions.

Fractal dimension was calculated from the DEM of each survey plot with R statistical software (version 3.5.1) using the methods developed by Fukunaga et al. 2019. Each DEM was processed using the aggregate function to compute the fractal dimension of the benthic substrate across multiple resolutions; 1-cm to 2, 4, 8, 16, 32 and 64-cm. Conducting this procedure results in one fractal dimension value (*D*) for each study plot, which represents a ratio of the resolution of the raster DEM to the 3D surface area of the DEM across the multiple resolutions used for the analysis.

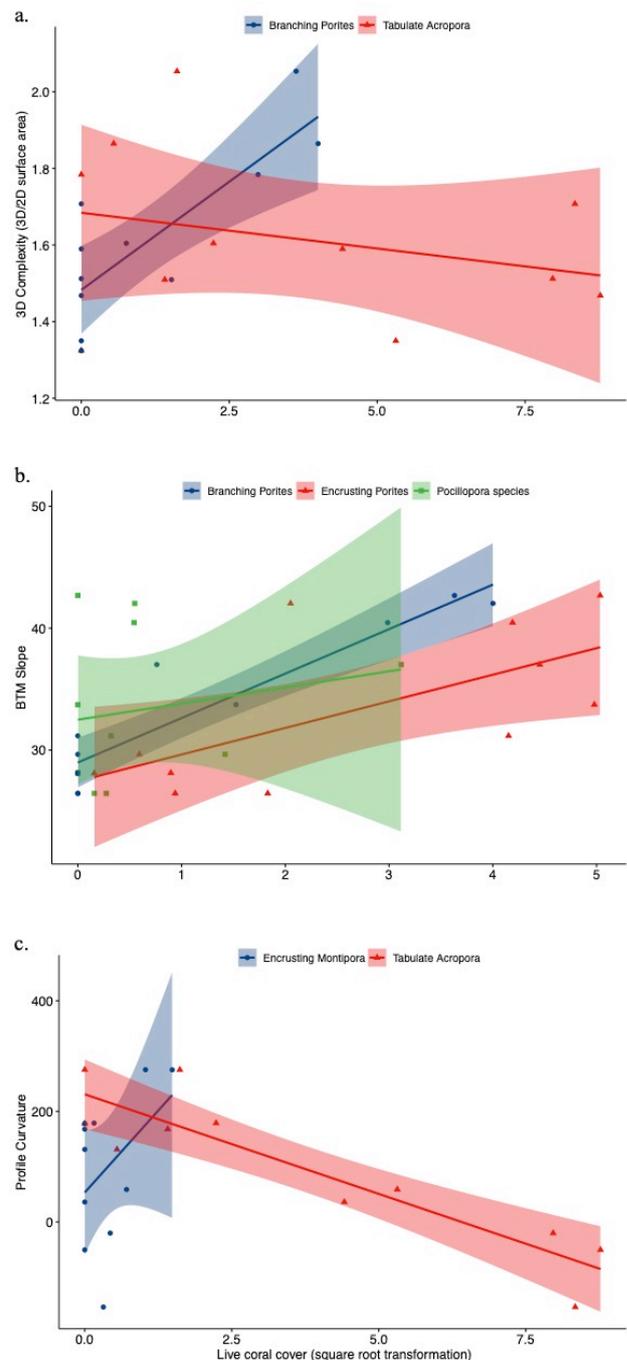


Figure 2. Plots representing the relationships among estimates of live coral cover and 3D habitat structural complexity identified by DISTLM. a) branching *Porites* ($R^2 = 67.3\%$, $p < 0.1$) and tabulate *Acropora* ($R^2 = 77.5\%$, $p < 0.01$) affect 3D complexity, b) *Pocillopora* corals ($R^2 = 97.2\%$, $p < 0.01$) and both branching ($R^2 = 87.1\%$, $p < 0.01$) and encrusting ($R^2 = 91.9\%$, $p < 0.05$) *Porites* affect BTM slope ($p < 0.01$), c) encrusting *Montipora* ($R^2 = 91.0\%$, $p < 0.05$) and tabulate *Acropora* ($R^2 = 81.9\%$, $p < 0.01$) affect profile curvature.

2.4 Statistical Analysis

Estimates of live coral cover derived from the CoralNet annotations were used to statistically examine how 2D metrics of

coral assemblage structure affect the 3D structural complexity of coral reef habitats at sites throughout French Frigate Shoals. Live coral taxa that contributed to less than 1% of the benthic habitat at all of the sites were removed from the analyses, except for massive *Porites* because all other *Porites* morphologies were included in the analyses. The estimates of live coral cover were square-root transformed and used to compute Bray-Curtis dissimilarity values to serve as a metric of coral assemblage structure. Canonical analysis of principal coordinates (CAP: Anderson and Robinson 2003, Anderson and Willis 2003) was used to explore relationships between 3D habitat structural complexity and the structure of coral assemblage on the basis of the Bray-Curtis dissimilarity. The multivariate CAP analysis identified principal coordinate axes that have maximum correlations with the metrics of 3D habitat structural complexity (*surface complexity, slope, curvature*). Fractal dimension was excluded from this analysis in order to avoid issues of multicollinearity as surface complexity and fractal dimension had very strong positive correlation of 0.95. We also used univariate distance-based linear models (DISTLM: Legendre and Anderson, 1999, McArdle and Anderson, 2001) to examine formally how estimates of live coral cover affect the metrics of 3D habitat structural complexity (*surface complexity, slope, curvature, and fractal dimension*). The small-sample-size corrected version of Akaike's information criterion (AICc) was used to select appropriate parsimonious models and identify the coral genera and morphology that best explained variability in the levels of 3D habitat structural complexity among the study sites at French Frigate Shoals. All statistical analyses were done using the software package PRIMER 6 with the PERMANOVA+ add-on (PRIMER-e, Auckland, New Zealand).

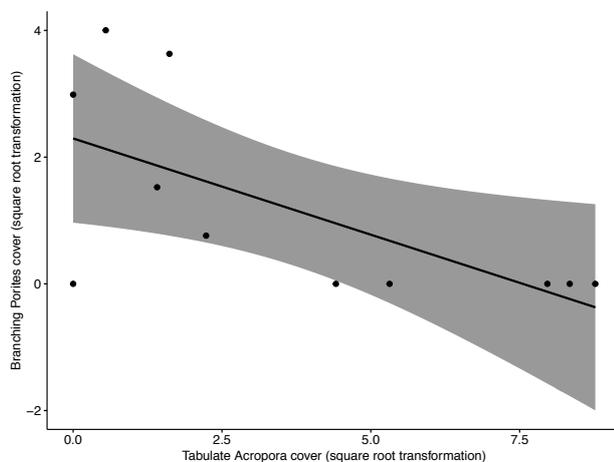


Figure 3. Plot representing the negative relationship between the percentage of live tabulate *Acropora* coral cover and the percentage of live branching *Porites* coral cover ($R^2 = 41.9\%$, $p < 0.05$) identified by DISTLM.

3. RESULTS

The CAP multivariate analysis indicated a strong association between the structure of coral assemblages (based on Bray-Curtis dissimilarity computed on square-root transformed live coral cover) and metrics of 3D habitat structural complexity (trace statistic = 1.2, $p = 0.002$). Two canonical axes produced in the analyses had canonical correlations of $\delta_1 = 0.94$ and $\delta_2 = 0.57$. The constrained CAP ordination (Figure 4) showed changes in the coral assemblage structure, from left to right, with an increase in profile curvature, while changes in the assemblage structure associated with increases in slope and 3D surface complexity, to a lesser extent, were captured from top to bottom. Levels of live

coral cover at each survey site that were superimposed on the CAP ordination (Figure 4) showed a potential negative correlation between tabulate *Acropora* and profile curvature and a positive correlation between overall coral cover and either slope or 3D surface complexity.

The univariate DISTLM analysis showed that the metrics of 3D habitat structural complexity are significantly affected by estimates of live coral cover (Figure 2). The percentage of branching *Porites* coral cover exhibited a statistically significant positive relationship with surface complexity explaining 67.3% of variation, and the percentage of tabulate *Acropora* coral cover exhibited a positive relationship, explaining additional 10% of variation in surface complexity after the percentage of branching *Porites* was taken into account (selected model's $R^2 = 77.5\%$, Figure 2a). It should be noted that the surface complexity and fractal dimension data exhibit Simpson's paradox; a phenomenon in probability and statistics in which a trend appears in several different groups of data but disappears or reverses when these groups are combined. Tabulate *Acropora* coral cover exhibits a negative trend with surface complexity and fractal dimension (Figure 2a), but when analysed using DISTLM and branching *Porites* coral cover is accounted for, the relationship between *Acropora* coral cover and surface complexity is positive. The percentage of branching *Porites* coral cover and tabulate *Acropora* coral cover explained 54.0% and additional 15.2%, respectively, of variation in fractal dimension (selected model's $R^2 = 69.2\%$). Due to the strong collinearity between values of surface complexity and fractal dimension, only the plot of live coral cover and surface complexity is shown in Figure 2. The percentage of branching *Porites* coral cover, encrusting *Porites* coral cover, and *Pocillopora* coral cover exhibited statistically significant positive relationships with slope explaining 87%, additional 4.7%, and additional 5.4%, respectively, of variation in slope (selected model's $R^2 = 97.2$, Figure 2b). The percentage of tabulate *Acropora* coral cover exhibited a statistically significant negative relationship with profile curvature explaining 81.9% of variation, and the encrusting *Montipora* coral cover exhibited a statistically significant positive relationship, explaining additional 9.1% of variation in profile curvature (selected model's $R^2 = 91.0$, Figure 2c). The percentage of live tabulate *Acropora* coral cover was found to exhibit a significantly negative relationship with the percentage of live branching *Porites* coral cover ($R^2 = 41.9\%$, $p < 0.05$, Figure 3).

4. CONCLUSIONS

This study examined how 2D estimates of live coral cover are associated with 3D structural complexity of coral reef habitats at French Frigate Shoals. Our analyses found coral assemblage structure and the percentage of live coral to be significant drivers of 3D habitat structural complexity among eleven long-term coral reef study sites. Our findings provide useful information for conservation efforts as they provide a framework for identifying specific coral morphotypes that act as drivers of 3D architectural complexity on coral reefs.

Our CAP analysis found statistically significant associations between the structure of coral assemblages at our long-term study sites and 3D habitat structural complexity (Figure 4). Metrics of 3D habitat structural complexity used in the analysis together exhibited a statistically significant correlation with the coral assemblages as a whole. The sites were separated from left to right along a gradient of profile curvature and top to bottom along gradients of structural complexity. The sites with a high percentage of live tabulate *Acropora* coral cover grouped at the bottom left thus tend to have high values of surface complexity and slope and low values of profile curvature, whereas sites dominated by a high percentage of live *Porites* coral cover and *Montipora* coral cover at the bottom right tend to have high

of coral reef habitats. As we determine the direct underpinnings linking 3D complexity to specific ecological processes, this information will become vital for determining how ecosystem function and services will be affected by changing climate conditions.

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